



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Toshiaki Murai et al.

Confirmation No.: 8324

Serial No.:

10/785,517

Examiner: C. Nwaonicha

Filing Date:

February 24, 2004

Group Art Unit: 1621

Docket No.:

1018.1203101

Customer No.: 28075

For:

ASYMMETRIC PHOSPHINOSELENOIC CHLORIDE AND METHOD FOR

PRODUCING THE SAME

#### TRANSMITTAL SHEET

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

CERTIFICATE UNDER 37 C.F.R. § 1.8: I hereby certify that this correspondence is being deposited with the United States

Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to:

Mail Stop Amendment, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450

on this 7th day of October 2004.

David M. Crompton

We are transmitting herewith the attached:

[ ] Amendment

[ ] No additional claim fee required

[ ] The claim fee has been calculated as shown:

		CLAIMS	AS AMENI	DED			
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X 9=	\$	X 18=	\$
INDEPENDENT CLAIMS	-	=		X 43=	\$	X 86 =	\$
( ) FIRST MULTIPLE DEPENDENT CLAIM			+ 145 =	\$	+ 290 =	\$	
TOTAL			\$		\$		

[]	A check in the amount of \$ is enclosed. Itemization:  Fee Code \$  Fee Code \$  Fee Code \$
[XX]	Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established.
[XX]	Other: <u>CERTIFICATION FOR INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(e)(1))</u> , <u>INFORMATION DISCLOSURE STATEMENT</u> , <u>FORM PTO-1449</u> , <u>AND TWO CITED REFERENCES</u> .
[XX]	Return Receipt Postcard (MPEP 503).
[XXXX]	Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.  By:   David M. Crompton, Reg. No. 36,772

David M. Crompton CROMPTON, SEAGER & TUFTE, LLC 1221 Nicollet Avenue, Suite 800 Minneapolis, MN 55403-2420 Telephone: (612) 677-9050

Facsimile: (612) 359-9349





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# CERTIFICATION FOR INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(e)(1))

CERTIFICATE UNDER 37 C.F.R. § 1.8: I hereby certify that this correspondence is being deposited with the United States

Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to:

Mail Stop Amendment, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450

on this 7th day of October 2004.

David M. Crompto

Dear Sir:

This certification is being made for the Information Disclosure Statement accompanying this certification.

#### **CERTIFICATION**

I, the person signing below, certify that each item of the information contained in the Information Disclosure Statement submitted herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement (37 C.F.R. § 1.97(e)(1)).

Appl. No. 10/785,517 Cert. for IDS dated October 7, 2004

The person making this certification is a person who is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,

Toshiaki Murai et al.

By their Attorney,

David M. Crompton, Reg. No. 36,772

CROMPTON, SEAGER & TUFTE, LLC 1221 Nicollet Avenue, Suite 800

Minneapolis, MN 55403-2420

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### INFORMATION DISCLOSURE STATEMENT

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Mail Stop Amendment, Commissioner for Patents, PO Box 1450, Alexandria VA 22313-1450 on this 7th day of October 2

Crampton

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. § 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

In accordance with 37 C.F.R. § 1.98(a)(2), a copy of each non-U.S. patent/publication document or other information listed on the enclosed Form PTO-1449 is provided.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

Toshiaki Murai et al.

By their Attorne

David M. Crompton, Reg. No. 36.

CROMPTON, SEAGER & TUFTE, LLC

1221 Nicollet Avenue, Suite 800

Minneapolis, MN 55403-2420 Telephone: (612) 677-9050

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OIP	Find Serial No. 10/785,517  Find Stated October 7, 2004
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<u>\$</u> ]						
	FORM PTO-144	19	Atty. Docket No.: 1018.1203101	Serial No.: 10/785,517		
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT			Applicant: Toshiaki Murai et al.			
			Confirmation No.: 8324			
			Filing Date: February 24, 2004	Group Art: 1621		
		U.S. PATENT	DOCUMENTS			
Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate		
	1					

# FOREIGN PATENT DOCUMENTS Translation Country Document No. Date Yes No OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) Krawiecka, B., "Reaction of Thiolo-and Selenolo Esters of Phosphorus Acids with Halogens. Part 5, Halogenolysis of Selenium-methyl Phosphinoselenoates," AA

	*abstract* Heteroatom Chemistry, 3(4), 385-91.	
AB	Bayandina, E.V. et al., "Synthesis of Arylselenophosphinic Acid Derivatives and Their Properties," *abstract* Zhurnal Obshcei Khimii, 48(12), 2673-7.	

DATE CONSIDERED: **EXAMINER:** 

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.